Response Under 37 C.F.R. 1.312

Serial No. 10/663,436

Title: SHUFFLING APPARATUS AND METHOD

Page 2 of 3

information is not believed to be substantively material to the issues of patentability in

the present application, Applicant has made a concerted effort to provide any and all

material which someone might investigate to see if it were in fact material.

As the material is video material, not textural material, and as this format is the

most conventional format for video imaging, it should and must be reviewed by the

Examiner. Additionally, the Rules provision, reproduced below in Appendix I, applies

only to electronic documents, not to video images. The content is not a document, but is

a video.

Furthermore, the Examiner crossed off the last page of the IDS submitted by

Applicants two hard copy documents submitted with the IDS. That was also error that

must be corrected.

Applicants assume the application is now in proper order and in condition for issuance.

Please direct any inquiries to the undersigned attorney at (952) 832-9090.

Respectfully submitted,

RODNEY G. JOHNSON

By His Representatives,

MARK A. LITMAN & ASSOCIATES, P.A.

Mattun

Attorney Docket No.: PA0891.ap.US

York Business Center, Suite 205

3209 West 76th Street

Edina, Minnesota 55435

(952) 832-9090

Date: 13 March 2006

Reg. No. 26,390

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop: ISSUE FEE, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on 13 March 2006.

Mark A. Litman

Name

Attorney Docket No.: PA0891.ap.US

Response Under 37 C.F.R. 1.312 Serial No. 10/663,436

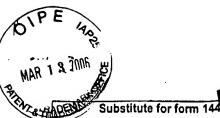
Title: SHUFFLING APPARATUS AND METHOD

Page 3 of 3

APPENDIX I

37 CFR 1.52

- (e) Electronic documents that are to become part of the permanent United States Patent and Trademark Office records in the file of a patent application or reexamination proceeding.
- (1) The following documents may be submitted to the Office on a compact disc in compliance with this paragraph:
- (i) A computer program listing (see § 1.96);
- (ii) A "Sequence Listing" (submitted under § 1.821(c)); or
- (iii) Any individual table (see § 1.58) if the table is more than 50 pages in length, or if the total number of pages of all of the tables in an application exceeds 100 pages in length, where a table page is a page printed on paper in conformance with paragraph (b) of this section and § 1.58(c).
- (2) A compact disc as used in this part means a Compact Disc-Read Only Memory (CD-ROM) or a Compact Disc-Recordable (CD-R) in compliance with this paragraph. A CD-ROM is a "read-only" medium on which the data is pressed into the disc so that it cannot be changed or erased. A CD-R is a "write once" medium on which once the data is recorded, it is permanent and cannot be changed or erased.



DATE, CANCELLED

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

I	Docket Number PA0891.ap.US	Serial Number 10/663, 436
	Applicant(s) Rodney G. Johnson	•
-	Filing Date 9/15/03	Group Art Unit

U.S. PATENT DOCUMENTS

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EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE (IF APPROPRIATE)
W3		1,885,276	11/1/1932	МсКау	273	149 R	
W3		2,001,220	5/14/1935	P.C. Smith	273	149	
3		2,016,030	10/1/1935	Woodruff et al.	273	149	
243		2,778,644, RE 24,986	1/22/1955	Stephenson	273	149	
m2		2,950,005	8/23/1960	MacDonald	209	74	
ms		3,595,388	· 7/27/1971	Castaldi	209	805	
Tyz		3,897,954	8/5/1975	Erickson et al.	273	149R	
m3		4,388,994	6/21/1983	Suda et al.	209	564	
2003		4,497,488	2/5/1985	Plevyak et al.	273		
43		4,512,580	4/23/1985	Matviak	273	148A	
W3		4,513,969	4/30/1985	Samsel, Jr.	273	1498	
w		4,515,367	5/7/1985	Howard	273		
WS	_	4,586,712	5/6/1986	Lorber	273	149R	
my		4,659,082	4/21/1987	Greenberg	273	149R	
243		4,662,637	5/5/1987	Pfeiffer	273	149P	
243		4,667,959	5/26/1987	Pfeiffer e7 q(273	149R	
2007		4,759,448	7/26/1988	Kawabata	209	55/	
.28		4,770,421	9/13/1988	Hoffman	273	149R	
m3		4,807,884	2/28/1989	Breeding	273	149R	
m3		4,876,000	10/24/1989	Mikhail	209	584	
WZ		4,969,648	11/13/1990	Hollinger et al.	273	149R	
mz		5,000,453	3/19/1991	Stevens et al.	273	149R	
243		5,121,921	6/16/1992	Friedman et al.	273	147R1	79P
247		5,240,140	8/31/1993	Huen	221	13	
ms		5,248,142	9/28/1993	Breeding	273	138R	
2/3		5,356,145	10/18/1994	Verschoor	273	149R	
·ws		5,374,061	12/20/1994	Albrecht	273	149R	
24.		5,382,024	1/17/1995	Blaha	Q13	149R	
273		5,431,399	7/11/1995	Kelley	273	149P	
3		5,605,334	2/25/1997	McCrea, Jr.	273	309	
wo		5,632,483	5/27/1997	Garczynski et al.	273	148R	
2005		5,681,039	10/28/1997	Miller	273		
1 4/S		5,683,085	11/4/1997	Johnson et al.	273	149R	·
243		5,695,189	12/9/1997	Breeding et al.	273	149R	
mo	5,720	5,695,189 5,722,983	3/3/1998	Hill et al.	463	47	
743		5,944,310	8/31/1999	Johnson et al. 🥠	273	149R	
W.3		5,989,122	11/23/1999	Roblejo	4-63	33 47	
W3		6,039,650	3/21/2000	Hill	463		
W3		6,068,258	5/30/2000	Breeding et al.	a73		
43		6,126,166	10/3/2000	Lorson et al.	1 273	148R	
WS	[.	6,149,154	11/21/2000	Grauzer et al.		149R	
243		6,213,310	4/10/2001	Wennersten et al.		534	
m/3		6,250,632	6/26/2001	Albrecht	273		

Examiner: 21/4/

Date Considered:

3/28/05

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EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE		NAME	CLASS	SUB-CLASS	FILING (IF APPRO	
WS		6,254,096	7/3/2001		Grauzer et al.	a73	149R		
2/5		6,267,248	7/31/2001		Johnson et al.	209	547		
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		DOCUMENT			COUNTRY	CLASS	SUB-CLASS	TRANS	LATION
EXAMINER INITIALS/	REF	NUMBER	DATE	ł	COUNTRY	CLASS	308-01-033	YES	NO
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Examiner: 21/28/05



INFORMATION DISCLOSURE STATEMENT PTO Form 1449

Docket Number
PA0891.ap.US
Applicant(s)
Rodney G. Johnson
Filing Date
Sept. 15, 2003 Serial Number 10/663,436

Group Art Unit

U.S. PATENT DOCUMENTS FOREIGN PATENT DOCUMENTS EXAMINER REF DOCUMENT NUMBER DATE NAME CLASS SUB-CLASS FILING DATE (IF APPROPRIA' IF APPROPRIA' IN APPROPRIA' IF APPROPRIA' IN APPROPRIA' IF APPROPRIA' IN APPROPRIA' IF APPROPRIA' IN APPROPRIA' IN APPROPRIA' IN APPROPRIA' IN APPROPRIA' IF APPROPRIA' IN APPROPRI					Sept. 15, 2003	2653			
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Examiner: 72

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Notice of References Cited	Examiner	Art Unit	
ATEMA STEEL	Mark J. Beauchaine	3653	Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-6,117,012	09-2000	McCrea, Jr., Charles H.	463/27
*	В	US-5,989,122	11-1999	Roblejo, Conrad	463/22
*	С	U\$-5,584,483	12-1996	Sines et al.	273/149R
*	D	US-5,431,399	07-1995	Kelley, Kalon L.	273/149P
*	E	US-4,832,342	05-1989	Plevyak et al.	. 273/149R
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FOREIGN PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

PE		
	Application No.	Applicant(s)
AR 13 2006 By Office Action Summary	10/663,436	JOHNSON, RODNEY G.
Office Action Summary	Examiner	Art Unit
CO HADEMAN	Mark J. Beauchaine	3653
The MAILING DATE of this communication Period for Reply A SHORTENED STATUTORY PERIOD FOR R THE MAILING DATE OF THIS COMMUNICATION Extensions of time may be available under the provisions of 37 Contafter SIX (6) MONTHS from the mailing date of this communication. If the period for reply specified above is less than thirty (30) days, if NO period for reply is specified above, the maximum statutory provided to reply within the set or extended period for reply will, by Any reply received by the Office later than three months after the earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filled on 2a) This action is FINAL 2b) Since this application is in condition for all closed in accordance with the practice under the provided service of the provided service under the provided service under the practice under the provided service under the practice under the provided service under the practice under the provided service under the provid	EPLY IS SET TO EXPIRE 3 MOON. FR 1.136(a). In no event, however, may a report of the statutory minimum of thirty seriod will apply and will expire SIX (6) MONT statute, cause the application to become AB mailing date of this communication, even if the statute of the seriod will apply and will expire SIX (6) MONT statute, cause the application to become AB mailing date of this communication, even if the statute of this communication, even if the statute of the seriod will be seriod with the s	ONTH(S) FROM Poly be timely filed (30) days will be considered timely. THS from the mailing date of this communication. ANDONED (35 U.S.C. § 133). Imely filed, may reduce any Pers, prosecution as to the merits is
4) Claim(s) 1-33 is/are pending in the application 4a) Of the above claim(s) is/are with 5) Claim(s) is/are allowed. 6) Claim(s) 1-33 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction as	ndrawn from consideration.	
Application Papers 9)☐ The specification is objected to by the Exa 10)☒ The drawing(s) filed on 15 September 200 Applicant may not request that any objection to Replacement drawing sheet(s) including the co	3 is/are: a) \square accepted or b) \square o the drawing(s) be held in abeyand prection is required if the drawing(ce. See 37 CFR 1.85(a). s) is objected to. See 37 CFR 1.121(d).
Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for for a) All b) Some * c) None of: 1. Certified copies of the priority docur 2. Certified copies of the priority docur 3. Copies of the certified copies of the application from the International But * See the attached detailed Office action for a	ments have been received, ments have been received in Ap priority documents have been ureau (PCT Rule 17.2(a)).	oplication No. <u>09/380,943</u> . received in this National Stage
Attachment(s) 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-94-3) Information Disclosure Statement(s) (PTO-1449 or PTO/S Paper No(s)/Mail Date 2/11/04&30/8/04	8) Paper No(s	ummary (PTO-413))/Mail Date formal Patent Application (PTO-152)

Application/Control Number: 10/663,436

Art Unit: 3653

DETAILED ACTION

Information Disclosure Statement

The information disclosure statement filed 11 February 2004 fails to comply with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609 because the documents fail to comply with 37 CFR 1.52(e)(3)(i). Specifically, files on the compact discs are not in American Code for Information Interchange (ASCII).

They have been placed in the application file, but the information referred to therein has not been considered as to the merits. Applicant is advised that the date of any re-submission of any item of information contained in this information disclosure statement or the submission of any missing elements will be the date of submission for purposes of determining compliance with the requirements based on the time of filing the statement, including all certification requirements for statements under 37 CFR 1.97(e). See MPEP § 609 ¶ C(1).

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1 and 31 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Application/Control Number: 10/663,436

Art Unit: 3653

Regarding claim 1, the term "moving cards" is language suitable to a method claim rather than to said apparatus claim. The term "capable of moving" would be acceptable language.

Regarding claim 31, said claim is redundant to preceding claim 30.

Appropriate correction is required.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

Claims 1-19, 22-24, 32 and 33 are rejected under 35 U.S.C. 102(a) as being anticipated by Patent Number 5,989,122 by Roblejo. The card randomizing apparatus disclosed by Roblejo incorporates holder 12, stacking means 21 and reader 14 that read on the Applicant's holding area, collecting area and sensor, respectively. Furthermore, said apparatus of the '122 patent is able to "determine the identity of the playing cards [that] can be unmarked" (column 3, lines 18 plus) and "randomize the order of cards" (column 3, lines 34 plus).

Regarding claims 13-19, control means 11 of said '122 patent reads on the Applicant's microprocessor.

Application/Control Number: 10/663,436

Art Unit: 3653

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 20, 21 and 25-31 are rejected under 35 U.S.C. 103(a) as being unpatentable over said '122 patent as explained above, and further in view of Patent Number 5,431,399 by Kelley. Although the '122 patent fails to disclose a card data display the use of such displays to provide a user with card information is well known in the art. The '399 patent teaches a card shuffling apparatus that includes display 6 that reads on the Applicant's display. Accordingly, it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate the card data display of the '399 patent into the card randomizing apparatus disclosed by the '122 patent to provide the user with card-related information.

Conclusion

The following related art made of record and not relied upon is considered pertinent to applicant's disclosure:

Patent Number 6,117,012 by McCrea, Jr. because of its shuffler 240,
Patent Number 5,584,483 by Sines et al because of its visual display 460, and

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Patent Number 4,832,342 by Plevyak et al because of its feed wheel 11.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mark J. Beauchaine whose telephone number is (703)308-6336. The examiner can normally be reached on 8:00AM through 5:00PM Mondays through Thursdays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Donald P. Walsh can be reached on (703)306-4173. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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